



## ***Form ADV 2B - Brochure Supplement***

### ***Stanley Barry Rosenthal – CRD# 4335560***

This brochure supplement provides information about Richard Allison Johnson that supplements the Marian Financial Services, Inc. Form ADV Part 2A brochure. You should have received a copy of that brochure. Please contact Stanley Barry Rosenthal if you did not receive Marian Financial Services, Inc.'s brochure or if you have any questions about the contents of this supplement.

Please note that information about our investment adviser representatives *is not found* at the FINRA Broker Check site, because our investment adviser representatives are not registered representatives with a broker-dealer. Information on our investment adviser representatives *is found* instead at the SEC's web site located at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Additional information about Stanley Barry Rosenthal is available on the SEC's website at: <http://www.adviserinfo.sec.gov>.

Registration as an Investment Adviser Representative of a Registered Investment Adviser means that the Investment Adviser Representative has either passed a Series 65 examination, or passed both a Series 7 and Series 66, or has qualified by virtue of having one of the following professional designations: CFP®, ChFC®, PFS®, CFA® or CIC®.

*For further information about this Brochure Supplement contact:*

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## **Item 2 - Education & Experience Background**

- *Name:*
- Stanley B. Rosenthal
- Born: 1947
  
- *Education Background:*
- Case Institute of Technology (now Case Western Reserve University) - 1969
- Metallurgical Engineering Major
  
- *Business Background:*
- Marian Financial Services, Inc. - Vice President & Secretary - 2010 - Present
- Rosenthal, Starling & Associates – President 1998 – Present

## **Item 3 - Disciplinary Information**

Mr. Rosenthal has a clean insurance, securities and investment adviser background history and therefore he has no disciplinary information on his record pertaining to any licenses that he has held in the past or currently holds today in Kentucky or any other state.

Additional information about Stanley B. Rosenthal is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 4 - Other Business Activities**

Stanley B. Rosenthal is the President of Professional Estate Planners which is doing business as Rosenthal, Starling & Associates. Rosenthal, Starling & Associates is the firm that Mr. Rosenthal conducts his insurance agency activities through for his clients. Mr. Rosenthal also has ownership interests in Claims Review Corporation which is a claims collection company. Rosenthal, Starling & Associates and Claims Review Corporation are non-investment related companies based in Kentucky.

Mr. Rosenthal spends a significant amount of time working for Marian Financial Services, Inc. (estimated at 50%) and Rosenthal, Starling & Associates (estimated at 45%) and an insignificant amount of time (less than 5%) working for Claims Review Corporation. Rosenthal, Starling & Associates and Claims Review Corporation are separately owned firms and neither is owned or affiliated with Marian Financial Services, Inc.

## **Item 5 - Additional Compensation**

### ***A. Rosenthal Starling & Associates Related***

Mr. Rosenthal may receive commissions from the sale of insurance products for which he is licensed. This may constitute a conflict of interest to clients. Clients are advised to consider whether hiring Mr. Johnson as an insurance agent is in their best interests.

### ***B. Claims Review Corporation Related***

Mr. Rosenthal may earn additional compensation as a partial owner in Claims Review Corporation. There is not any conflicts of interests by Mr. Rosenthal being a partial owner of this corporation.

## **Item 6 - Supervision**

Mr. Rosenthal is a co-owner of Marian Financial Services, Inc. along with Richard A. Johnson. Both Mr. Rosenthal and Mr. Johnson have defined roles in the firm. Mr. Rosenthal's activities are supervised by Richard A. Johnson and Mr. Johnson's activities are supervised by Stanley B. Rosenthal.

Mr. Johnson can be reached at the home office for Marian Financial Services, Inc. in St. Johns, FL by calling 904-547-2913.

## **Item 7 - Additional Disclosure Requirements for State-Registered Advisers**

### ***A. Disciplinary Information***

See Item 3 above.

### ***B. Bankruptcy Information***

Mr. Rosenthal has never declared bankruptcy.